



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

Dennis Booth, Treasurer  
Arizona Republican Party  
3501 North 24th Street  
Phoenix, AZ 85016

FEB 12 2003

Identification Number: C00008227

Reference: Amended 12 Day Pre-General Report (10/1/02-10/16/02), received  
11/27/02

Dear Mr. Booth:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule B supporting Line 23 of your report discloses one or more contributions to a candidate(s) for the 2002 Primary election; however, the funds were disbursed after the election date(s) (pertinent portion(s) attached). Please note that contributions may not be designated for an election which has already occurred unless the funds are to be used to reduce a candidate committee's debts incurred during that election campaign.

If any apparently impermissible contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information.

If you have made an impermissible contribution, you must request a refund or provide a written authorization for a redesignation of the contribution pursuant to 11 CFR § 110.2(b) within 60 days of the treasurer's receipt.

If the foregoing conditions for redesignations were not met within 60 days of the treasurer's receipt, your committee must obtain a refund.

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Please inform the Commission of your corrective action immediately in writing and provide a photocopy of the refund or redesignation request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. 11 CFR § 110.1(b)

Although the Commission may take further legal action regarding this impermissible activity, your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

-Commission Regulations require that a committee disclose the identification of all individuals who contribute in excess of \$200 in a calendar year. (11 CFR §104.3(a)(4)(i)) Identification for an individual is defined as the full name, mailing address, occupation and name of employer. (11 CFR §100.12) Your report discloses contributions from individuals for which the identification is not complete.

You must provide the missing information, or if you are unable to do so, you must demonstrate that "best efforts" have been used to obtain the information. To establish "best efforts," you must provide the Commission with a detailed description of your procedures for requesting the information. Establishing "best efforts" is a three-fold process.

First, your original solicitation must include a clear and conspicuous request for the contributor information and must inform the contributor of the requirements of federal law for the reporting of such information. (11 CFR §104.7(b)(1))

Second, if the information is not provided, you must make one follow-up, stand alone effort to obtain this information, regardless of whether the contribution(s) was solicited or not. This effort must occur no later than 30 days after receipt of the contribution and may be in the form of a written request or an oral request documented in writing. (11 CFR § 104.7(b)(2)) The request must:

- clearly ask for the missing information, without soliciting a contribution;

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- inform the contributor of the requirements of federal law for the reporting of such information, and
- if the request is written, include a pre-addressed post card or return envelope.

Third, if you receive contributor information after the contribution(s) has been reported, you shall either a) file with your next regularly scheduled report, an amended memo Schedule A listing all the contributions for which additional information was received; or b) file on or before your next regularly scheduled reporting date, amendments to the report(s) originally disclosing the contribution(s). (11 CFR §104.7(b)(4))

Please provide the missing information or a detailed description of your procedures for requesting the information. For more information on demonstrating "best efforts," please refer to the Campaign Guide.

-Please amend your report by providing the complete address for each disbursement itemized on Schedule(s) H4 supporting Line(s) 21(a).

-Schedule A supporting Line 11(b) and 11(c) discloses a transfer(s)-in from "National Republican Congressional Com" and "Republican Natl Committee". Schedule B supporting Line 21(b) reflects payments for "EXEMPT CAMPAIGN MAILER". Please be advised that a state or local party committee may pay for campaign materials (such as bumper stickers) that are distributed by volunteers in connection with activity on behalf of the party's nominees in a general election. Payments for this type of activity are exempt from the definition of a contribution or expenditure if certain conditions are met. The conditions are that no public advertising may be used, including distribution by direct mail (mailings by a commercial vendor or from commercial lists); all funds used for the activity must be permitted under the Act; none of the funds used may have been designated for a particular candidate; and finally, payments for the activity may not be made from transfers-in from the national committee to specifically fund the activity. For further guidance, please refer to 11 CFR §100.7(b)(15) and (17) and to the Campaign Guide for Party Committees.

Please clarify the nature of the transfer(s)-in and subsequent payments for the aforementioned disbursement(s). If the activity disclosed on your report does not meet the definition of "exempt" activity as described above

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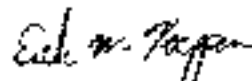
and if any portion of the expenditures were made on behalf of specifically identified candidates, that amount must be disclosed on Schedule B or F supporting Line 23 or 25 of the Detailed Summary Page as appropriate.

-Please clarify the expenditure for "GOTV calls". If this activity referenced House or Senate candidates, it should be allocated accordingly, unless merely incidental to the overall activity. If a portion or all of this expenditure was made on behalf of federal candidates, it should be reported on Schedules B, E or F for Lines 23, 24 or 25 of the Detailed Summary Page, as appropriate.

-Your report disclosed a category of financial activity that has been reflected on the wrong line of the Detailed Summary Page. Transfers from affiliated committees should be properly disclosed on a separate Schedule A, supporting Line 12 of the Detailed Summary Page. Please refer to the instructions contained on the forms to determine the proper categorization when preparing your next filing.

A response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. Electronic filers must file amendments (to include statements, designation and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,



Erik W. Koeppen  
Senior Campaign Finance Analyst  
Reports Analysis Division

**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**

 Use separate schedule(s)  
 for each category of the  
 Detailed Summary Page

 FOR LINE NUMBER:  
 (check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c
<input type="checkbox"/> 29				

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

 NAME OF COMMITTEE (In Full)  
 Arizona Republican Party

Full Name (Last, First, Middle Initial)

A. Garrett for Congress 2002

Date of Disbursement

10 / 02 / 2002

Mailing Address

PO Box 905

City

Newton

State

NJ

Zip Code

07880-

Amount of Each Disbursement this Period

5000.00

Purpose of Disbursement

CONTRIBUTION

Candidate Name

ESCOTT GARRETT

Category/  
Type

CONTRIBUTION

 Office Sought: ☒ House  
                   ☐ Senate  
                   ☐ President

Disbursement For:

☐ Primary    ☒ General  
☐ Other (specify) ▼

State: NJ    District: 06

Transaction ID: 1021200256E8131

Full Name (Last, First, Middle Initial)

B. John Swallow for Congress

Date of Disbursement

10 / 01 / 2002

Mailing Address

175 S. West Temple, Suite 650

City

Salt Lake City

State

UT

Zip Code

84101-

Amount of Each Disbursement this Period

5000.00

Purpose of Disbursement

CONTRIBUTION

Candidate Name

JOHN EDWARD SWALLOW

Category/  
Type

CONTRIBUTION

 Office Sought: ☒ House  
                   ☐ Senate  
                   ☐ President

Disbursement For:

☐ Primary    ☒ General  
☐ Other (specify) ▼

State: UT    District: 02

Transaction ID: 1021200257E9160

Full Name (Last, First, Middle Initial)

C. John Swallow for Congress

Date of Disbursement

10 / 01 / 2002

Mailing Address

175 S. West Temple, Suite 650

City

Salt Lake City

State

UT

Zip Code

84101-

Amount of Each Disbursement this Period

5000.00

Purpose of Disbursement

CONTRIBUTION

Candidate Name

JOHN EDWARD SWALLOW

Category/  
Type
 Utah Primary date: 6/25/02  
 CONTRIBUTION

 Office Sought: ☒ House  
                   ☐ Senate  
                   ☐ President

Disbursement For:

☒ Primary    ☐ General  
☐ Other (specify) ▼

State: UT    District: 02

Transaction ID: 1021200257E9159

SUBTOTAL of Disbursements This Page (optional) ▶

15000.00

TOTAL This Period (last page this line number only) ▶

23.03.2023